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NO. 6012 P. 1

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## TELEFAX

Date: November 8, 2005

Total pages: 6 including cover

To: US PTO

Telephone:

Telefax: 571-273-8300

From: Rivka Monheit

Telephone: 404-879-2152

Telefax: (404) 879-2160

Our Docket No. PHAG 100

Your Docket No.

Client/Matter No. 078230/00011

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### MESSAGE:

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentees: Peter J. Houzego, Peter N. Morgan, Peter H. Hirst,  
Duncan J. Westland and Ian R. Wilding

Patent No.: 6,632,216  
Issued: October 14, 2003

Serial No.: 09/742,936  
Filed: December 20, 2000

For: *INGESTIBLE DEVICE*

#### Attachments:

Transmittal Form PTO/SB/21

Fee Transmittal Form PTO/SB/17

Change of Correspondence Address for Patent

Revocation of Power of Attorney with New Power of Attorney and Correspondence

Address Indication Form

Statement Under 37 C.F.R. 3.73(b)

{45061883.1}

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NO. 6012 P. 2

PTO/SB/21 (09-04)

Approved for use through 07/31/2009. OMB 0651-0031

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	Patent: 6,632,216
	Filing Date	Issued: October 14, 2003
	First Named Inventor	Peter J. Houzago
	Art Unit	
	Examiner Name	
Total Number of Pages in This Submission	5	Attorney Docket Number PHAG 100

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement Under 37 C.F.R. 3.73(b)
Remarks: _____		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Pabst Patent Group LLP		
Signature	<i>Rivka D. Monheit</i>		
Printed name	Rivka D. Monheit		
Date	November 8, 2005	Reg. No.	48,731

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature	<i>Carla Stone</i>		
Typed or printed name	Carla Stone	Date	November 8, 2005

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NO. 6012 P. 3

PTO/SB/17 (12-04)

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL**  
**For FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$0.00)

**Complete if Known**

Application Number	Patent No: 6,632,216
Filing Date	Issued: October 14, 2003
First Named Inventor	Peter J. Houzago
Examiner Name	
Art Unit	
Attorney Docket No.	PHAG 100

**METHOD OF PAYMENT (check all that apply)**
☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):

☒ Deposit Account Deposit Account Number: 50-3129 Deposit Account Name: Pabst Patent Group LLP

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**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	0	x	=			

HP = highest number of total claims paid for, if greater than 20

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP =	0	x	=

HP = highest number of independent claims paid for, if greater than 3

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other:

**SUBMITTED BY**

Signature	<i>Rivka D. Monheit</i>	Registration No. (Attorney/Agent)	48,731	Telephone	(404) 879-2152
Name (Print/Type)	Rivka D. Monheit	Date	November 8, 2005		

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PTO/SB/123 (04-05)

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**CHANGE OF  
CORRESPONDENCE ADDRESS  
Patent**Address to:  
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Patent Number	6,632,216
Issue Date	October 14, 2003
Application Number	09/742,936
Filing Date	December 20, 2000
First Named Inventor	Peter J. Houzago
Attorney Docket Number	PHAG 100

Please change the Correspondence Address for the above-identified patent to:

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I am the:

☐ Patentee.☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).☒ Attorney or agent of record. Registration Number 48,731

Signature

*Rivka D. Monheit*

Typed or

Printed Name

Rivka D. Monheit

Date

November 8, 2005

Telephone

404-879-2152

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

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FTO/SB/82 (09-04)

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<b>REVOCAION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS</b>	Application Number	Patent: 6,632,216
	Filing Date	Issued: October 14, 2003
	First Named Inventor	Peter J. Houzago
	Art Unit	3763
	Examiner Name	Manuel A. Mendez
	Attorney Docket Number	PHAG 100

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number: 23579☒ Please change the correspondence address for the above-identified application to:☒ The address associated with  
Customer Number:


23579

OR

<input type="checkbox"/> Firm or Individual Name					
Address					
City		State		Zip	
Country					
Telephone		Fax			

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Signature				on behalf of Phaeton Research Limited
Name	SIMON A. LEE			
Date	9 MARCH 2005		Telephone	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

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PHAG 100 / 078230/00011

1 NOV. 8. 2005 12:04PM 4048 / PABST PATENT GROUP

ARNALL GOLDEN GROSS

NO 6012 P.P. 6 00

NOV 08 2005

PTO/S&S (08-00)

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Peter J. Houzago, Peter N. Morgan, Peter H. Hirst, Duncan J. Westland, and Ian R. Wilding

Application No./Patent No.: 09/742,936/6,632,216 Filed/Issue Date: December 20, 2000 / Oct 14, 2003

Entitled: AN INGESTIBLE DEVICE

Phazton Research Ltd. a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012073 Frame 0987, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.  
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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

23 January 2001  
Date

IAN WILDING

Typed or printed name

I. Wilding

Signature

DIRECTOR

Title

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20250/1 PHAG/P23553US